the Wolfsberg Group

Financial Institution Name: Location (Country) :

Land Bank of Taiwan Co., Ltd. Taiwan R.O.C.

The questionnaire is required to be answered on a Legal Entity (LE) Level. The Financial institution should answer the questionnaire at the legal entity level including any branches for which the client base, products and control model are materially similar to the LE Head Office. This questionnaire should not cover more than one LE. Each question in the CBDDQ will need to be addressed from the perspective of the LE and on behalf of all of its branches. If a response for the LE differs for one of its branches, this needs to be highlighted and details regarding this difference captured at the end of each sub-section. If a branch's business activity (products offered, client base etc.) is materially different than its Entity Head Office, a separate questionnaire can be completed for that branch.

No#	Question	Answer
	& OWNERSHIP	- Anonei
1. ENIII I	Full Legal Name	
•	r un Legan vanie	Land Bank of Taiwan Co., Ltd.
2	Append a list of foreign branches which are covered by this questionnaire	149 domestic branches (including Department of Business), 9 overseas branches and office. For further detail, please refer to our website: https://www.landbank.com.tw/En/Location/BranchList/Business-Units
3	Full Legal (Registered) Address	No. 46, Guancian Rd., Jhongjheng District, Taipei City 100007, Taiwan (R.O.C.)
4	Full Primary Business Address (if different from above)	
5	Date of Entity incorporation/establishment	1st September, 1946
6	Select type of ownership and append an ownership chart if available	
6 a	Publicly Traded (25% of shares publicly traded)	No
6 a1	If Y, Indicate the exchange traded on and ticker symbol	
6 b	Member Owned/Mutual	No
6 c	Government or State Owned by 25% or more	Yes
6 d	Privately Owned	No
6 d1	If Y, provide details of shareholders or ultimate beneficial owners with a holding of 10% or more	
7	% of the Entity's total shares composed of bearer shares	0%
8	Does the Entity, or any of its branches, operate under an Offshore Banking License (OBL)?	Yes
8 a	If Y, provide the name of the relevant branchies which operate under an OBL	Taiwan (OBU) offshore banking license issued by Financial Supervisory Commission R.O.C. (Taiwan)
9	Does the Bank have a Virtual Bank License or provide services only through online channels?	No
10	Name of primary financial regulator/supervisory authority	Financial Supervisory Commission R.O.C. (Taiwan)
11	Provide Legal Entity Identifier (LEI) if available	549300RSNEVPCE8HET83
12	Provide the full legal name of the ultimate parent (if different from the Entity completing the DDQ)	Not Applicable

13	Jurisdiction of licensing authority and regulator of	
''	ultimate parent	Financial Supervisory Commission, R.O.C. (Talwan)
14	Select the business areas applicable to the Entity	
14 a	Retail Banking	Yes
14 b	Private Banking	No
14 c	Commercial Banking	Yes
14 d	Transactional Banking	Yes
14 e	Investment Banking	No .
14 f	Financial Markets Trading	Yes
14 g	Securities Services/Custody	Yes
14 h	Broker/Deafer	No
14 i	Multilateral Development Bank	No
14 j	Wealth Management	Yes
14 k	Other (please explain)	Trust, Insurance Agent
15	Does the Entity have a significant (10% or more)	
	portfolio of non-resident customers or does it derive	
	more than 10% of its revenue from non-resident	l
	customers? (Non-resident means customers primarily	No
	resident in a different jurisdiction to the location	
	where bank services are provided)	
15 a	If Y, provide the top five countries where the non-	
	resident customers are located.	
16	Select the closest value:	
16 a	Number of employees	5004 40000
16 b	Total Assets	5001-10000 Greater than \$500 million
17		Greater than \$500 (million
17	Confirm that all responses provided in the above Section are representative of all the LE's branches.	Yes
l	<u> </u>	
17 a	If N, clarify which questions the difference/s relate to	
	and the branch/es that this applies to.	
18	If appropriate, provide any additional	
	information/context to the answers in this section.	
	į.	
2, PRODU	CTS & SERVICES	
19	Does the Entity offer the following products and	
	services:	
19 a	Correspondent Banking	No
19 a1	IfY	
19 a1a	Does the Entity offer Correspondent Banking	
	services to domestic banks?	Please select
19 a1b	Does the Entity allow domestic bank clients to	
	provide downstream relationships?	Please select
19 a1c	Does the Entity have processes and procedures	
	in place to identify downstream relationships with	Please select
	domestic banks?	. 10000 001001
19 a1d	Does the Entity offer Correspondent Banking	<u> </u>
13 4 10	services to foreign banks?	Please select
10 012		
19 a1e	Does the Entity allow downstream relationships with foreign banks?	Please select
40 -4"	_	
19 a1f	Does the Entity have processes and procedures	
(In place to identify downstream relationships with foreign banks?	Please select
19 a1g	Does the Entity offer Correspondent Banking	
	services to regulated Money Services Businesses	Please select
	(MSBs)/Money Value Transfer Services (MVTSs)?	
19 a1h	Does the Entity allow downstream relationships	
	with MSBs, MVTSs, or Payment Service Provider	
	(PSPs)?	
19 a1h1	MSBs	Please select
19 a1h2	MVT\$s	Please select
19 a1h3	PSPs	Please select
· · · · · · · · · · · · · · · · ·	1	

19 a1l	Does the Entity have processes and procedures	
1	in place to identify downstream relationships with	Please select
	MSBs /MVTSs/PSPs?	Liegoe select
	IVIODS /IVIV TOS/FOFS!	
19 b	Cross-Border Bulk Cash Delivery	No
19 c	Cross-Border Remittances	Yes
		- · · · · · · · · · · · · · · · · · · ·
19 d	Domestic Bulk Cash Delivery	No
19 e	Hold Mail	No
19 f	International Cash Letter	Yes
19 g	Low Price Securities	No
19 h	Payable Through Accounts	No
19 i	Payment services to non-bank entities who may	***
	then offer third party payment services to their customers?	Yes
19 i1	If Y, please select all that apply below?	•
19 i2	Third Party Payment Service Providers	Yes
19 i3	Virtual Asset Service Providers (VASPs)	No
19 14	eCommerce Platforms	No
19 i5	Other - Please explain	
1913	Olisei - Flease explain	
	<u> </u>	<u> </u>
19]	Private Banking	No
19 k	Remote Deposit Capture (RDC)	No
	. I	
19 I	Sponsoring Private ATMs	No
19 m	Stored Value Instruments	No
19 n	Trade Finance	Yes
19 o	Virtual Assets	No
19 p	For each of the following please state whether you	
	offer the service to walk-in customers and if so, the	
	applicable level of due diligence:	
	applicable level of dde diligence.	
19 p1	Check cashing service	Yes
19 p1a	If yes, state the applicable level of due diligence	Identification and verification
19 p2	Wire transfers	Yes
19 p2a	If yes, state the applicable level of due diligence	Identification and verification
19 p3	Foreign currency conversion	Yes
19 p3a	If yes, state the applicable level of due diligence	Identification and verification
19 p4		
	Sale of Monetary Instruments	No
19 p4a	If yes, state the applicable level of due diligence	Please select
19 p5	If you offer other services to walk-in customers	
	please provide more detail here, including	
	describing the level of due diligence.	
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		.l
19.0	Other high rick products and consider identified by	****
19 q	Other high-risk products and services identified by	
19 q	Other high-risk products and services identified by the Entity (please specify)	
19 q		
19 q		
	the Entity (please specify)	
19 q	the Entity (please specify) Confirm that all responses provided in the above	
	the Entity (please specify)	Yes
20	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches.	Yes
	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to	Yes
20	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches.	Yes
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20 20 a	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	Yes
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20 20 a	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	- 19 I; payment services provided to domestic non-bank entities only 19 pt: check cashing service provided to TWD-denominated checks only.
20 20 a	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	- 19 I: payment services provided to domestic non-bank entitles only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale
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20 20 a 21	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section.	- 19 I: payment services provided to domestic non-bank entitles only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale
20 a 21 3. AML, C	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section.	- 19 I: payment services provided to domestic non-bank entitles only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale
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20 a 21 3. AML, C 22	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components:	- 19 l: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets.
20 a 21 3. AML, C	the Entity (please specify) Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the	- 19 I: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale
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20 a 21 3. AML, C 22 22 a 22 b	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening	- 19 I; payment services provided to domestic non-bank entities only 19 pt: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes
20 a 21 3. AML, C 22 22 a 22 b 22 c	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership	- 19 I; payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes
20 a 21 21 3. AML, C 22 22 a 22 b 22 c 22 d	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening	- 19 I; payment services provided to domestic non-bank entities only 19 pt: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes
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20 20 a 21 21 3. AML, C 22 22 b 22 c 22 d 22 e	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Enfity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting	- 19 I: payment services provided to domestic non-bank entitles only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes
20 20 a 21 3. AML, C 22 22 a 22 a 22 c 22 d 22 e 22 f	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD	- 19 l: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Y
20 20 a 21 21 3. AML, C 22 22 b 22 c 22 d 22 e	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Enfity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting	- 19 I: payment services provided to domestic non-bank entitles only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes
20 20 a 21 21 22 a 22 b 22 c 22 d 22 e 22 f 22 g	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD EDD Independent Testing	- 19 l: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Yes
20 20 a 21 21 22 a 22 b 22 c 22 d 22 f 22 g 22 h	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD EDD Independent Testing Periodic Review	- 19 I: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Y
20 20 a 21 21 22 a 22 b 22 c 22 d 22 e 22 f 22 f 22 g 22 h 22 i	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD EDD independent Testing Periodic Review Policies and Procedures	- 19 l: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Yes Yes
20 20 a 21 21 22 a 22 b 22 c 22 d 22 f 22 g 22 h	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD EDD Independent Testing Periodic Review	- 19 I: payment services provided to domestic non-bank entities only 19 p1: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Y
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20 20 a 21 21 3. AML, C 22 2 b 22 c 22 d 22 e 22 f 22 g 22 h 22 i 22 j 22 j	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD EDD Independent Testing Periodic Review Policies and Procedures PEP Screening Risk Assessment	- 19 I; payment services provided to domestic non-bank entities only 19 pt: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye
20 20 a 21 21 3. AML, C 22 22 a 22 b 22 c 22 d 22 e 22 f 22 g 22 h 22 i 22 j	Confirm that all responses provided in the above Section are representative of all the LE's branches. If N, clarify which questions the difference/s relate to and the branch/es that this applies to. If appropriate, provide any additional information/context to the answers in this section. TF & SANCTIONS PROGRAMME Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components: Appointed Officer with sufficient Adverse Information Screening Beneficial Ownership Cash Reporting CDD Independent Testing Periodic Review Policies and Procedures PEP Screening	- 19 I; payment services provided to domestic non-bank entities only 19 pt: check cashing service provided to TWD-denominated checks only Overseas branches provide limited products and services based on local licenses, business scale and target markets. Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye

22 m	Suspicious Activity Reporting	Yes
22 m	Training and Education	Yes
22 o	Transaction Monitoring	Yes
23	How many full time employees are in the Entity's AML, CTF & Sanctions Compliance Department?	11-100
24	Is the Entity's AML, CTF & Sanctions policy approved at least annually by the Board or equivalent Senior Management Committee? If N, describe your practice in Question 29.	Yes
25	Does the Board receive, assess, and challenge regular reporting on the status of the AML, CTF, & Sanctions programme?	Yes
26	Does the Entity use third parties to carry out any components of its AML, CTF & Sanctions programme?	No
26 a	if Y, provide further details	
27	Does the entity have a whistleblower policy?	Yes
28	Confirm that all responses provided in the above Section are representative of all the LE's branches	Yes
28 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
29	If appropriate, provide any additional information/context to the answers in this section.	Overseas branches shall apply AML/CFT measures that are consistent with the home country requirements.
4. ANTI I	BRIBERY & CORRUPTION	
30	Has the Entity documented policies and procedures consistent with applicable ABC regulations and requirements to reasonably prevent, detect and report bribery and corruption?	Yes
31	Does the Entity have an enterprise wide programme that sets minimum ABC standards?	Yes
32	Has the Entity appointed a designated officer or officers with sufficient experience/expertise responsible for coordinating the ABC programme?	Yes
33	Does the Entity have adequate staff with appropriate levels of experience/expertise to implement the ABC programme?	Yes
34	Is the Entity's ABC programme applicable to:	Both joint ventures and third parties acting on behalf of the Entity
35	Does the Entity have a global ABC policy that:	
35 a	Prohibits the giving and receiving of bribes? This includes promising, offering, giving, solicitation or receiving of anything of value, directly or indirectly, if improperly intended to influence action or obtain an advantage.	Yes
35 b	Includes enhanced requirements regarding interaction with public officials?	Yes
35 с	Includes a prohibition against the falsification of books and records (this may be within the ABC policy or any other policy applicable to the Legal Entity)?	Yes
36	Does the Entity have controls in place to monitor the effectiveness of their ABC programme?	Yes
37	Does the Board receive, assess, and challenge regular reporting on the status of the ABC programme?	Yes
38	Has the Entity's ABC Enterprise Wide Risk Assessment (EWRA) been completed in the last 12 months?	Yes
38 a	If N, provide the date when the last ABC EWRA was completed.	
39	Does the Entity have an ABC residual risk rating that is the net result of the controls effectiveness and the inherent risk assessment?	Yes
40	Does the Entity's ABC EWRA cover the inherent risk components detailed below:	Yes
40 a	Potential liability created by intermediaries and other third-party providers as appropriate	Yes

40 b	Corruption risks associated with the countries and industries in which the Entity does business, directly or through intermediaries	Yes
40 c	Transactions, products or services, including those that involve state-owned or state-controlled entities or public officials	Yes
40 d	Corruption risks associated with gifts and hospitality, hiring/internships, charitable donations and political contributions	Yes
40 e	Changes in business activities that may materially increase the Entity's corruption risk	Yes
41	Does the Entity's internal audit function or other independent third party cover ABC Policies and Procedures?	Yes
42	Does the Entity provide mandatory ABC training to:	
42 a	Board and senior Committee Management	Yes
42 b	1st Line of Defence	Yes
42 c	2nd Line of Defence	Yes
42 d	3rd Line of Defence	Yes
42 e	Third parties to which specific compliance activities subject to ABC risk have been outsourced	Not Applicable
42 f	Non-employed workers as appropriate (contractors/consultants)	Not Applicable
43	Does the Entity provide ABC training that is targeted to specific roles, responsibilities and activities?	Yes
44	Confirm that all responses provided in the above Section are representative of all the LE's branches	Yes
44 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
45	If appropriate, provide any additional information/context to the answers in this section.	
	TE - CANCELOUS DOLLOWS & DOCOTOURS	
	CTF & SANCTIONS POLICIES & PROCEDURES	
46	Has the Entity documented policies and procedures consistent with applicable AML, CTF & Sanctions regulations and requirements to reasonably prevent, detect and report:	
46 a	Money laundering	Yes
46 b	Terrorist financing	Yes
46 c	Sanctions violations	Yes
47	Are the Entity's policies and procedures updated at least annually?	Yes
48	Has the Entity chosen to compare its policies and procedures against:	
48 a	U.S. Standards	Yes
48 a1	If Y, does the Entity retain a record of the results?	Yes
48 b	EU Standards	Yes
48 b1	If Y, does the Entity retain a record of the results?	Yes
49	Does the Entity have policies and procedures that:	
49 a	Prohibit the opening and keeping of anonymous and fictitious named accounts	Yes
49 b	Prohibit the opening and keeping of accounts for	Yes
l	unlicensed banks and/or NBFIs	res
49 c	unlicensed banks and/or NBFIs Prohibit dealing with other entities that provide banking services to unlicensed banks	Yes
49 c	Prohibit dealing with other entities that provide	
	Prohibit dealing with other entities that provide banking services to unlicensed banks	Yes
49 d	Prohibit dealing with other entities that provide banking services to unlicensed banks Prohibit accounts/relationships with shell banks Prohibit dealing with another entity that provides	Yes Yes
49 d 49 e	Prohibit dealing with other entities that provide banking services to unlicensed banks Prohibit accounts/relationships with shell banks Prohibit dealing with another entity that provides services to shell banks Prohibit opening and keeping of accounts for	Yes Yes Yes

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crime reasons that applies across the entity, including foreign branches and affiliates in a fall tasks and affiliates in the control of the	49 j	terminating existing customer relationships due to	Yes
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58 c List Management Yes	58 a	<u>-</u>	Yes
	58 b	Covernance	Yes
58 d Management Information Yes			
		List Management	Yes

58 e	Name Screening	Yes
58 f	Transaction Screening	Yes
58 g	Training and Education	Yes
59	Has the Entity's Sanctions EWRA been completed in	Yes
	the last 12 months?	
59 a	If N, provide the date when the last Sanctions EWRA was completed.	
60	Confirm that all responses provided in the above Section are representative of all the LE's branches	Yes
60 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
61	If appropriate, provide any additional information/context to the answers in this section.	
7. KYC, CI	DD and EDD	
62	Does the Entity verify the identity of the customer?	Yes
63	Do the Entity's policies and procedures set out when CDD must be completed, e.g. at the time of onboarding or within 30 days?	Yes
64	Which of the following does the Entity gather and retain when conducting CDD? Select all that apply:	
64 a	Customer identification	Yes
64 b	Expected activity	Yes
64 c	Nature of business/employment	Yes
64 d	Ownership structure	Yes
64 e	Product usage	Yes
64 f	Purpose and nature of relationship	Yes
64 g	Source of funds	Yes
64 h	Source of wealth	Yes
65	Are each of the following identified:	
65 a	Ultimate beneficial ownership	Yes
65 a1	Are ultimate beneficial owners verified?	Yes
65 b	Authorised signatories (where applicable)	Yes
65 c	Key controllers	Yes
65 d 66	Other relevant parties What Is the Entity's minimum (lowest) threshold	Yes 25%
67	applied to beneficial ownership identification? Does the due diligence process result in customers	Yes
67 a	receiving a risk classification? If Y, what factors/criteria are used to determine the	
	customer's risk classification? Select all that apply:	
67 a1	Product Usage	Yes
67 a2	Geography	Yes
67 a3 67 a4	Business Type/Industry Legal Entity type	Yes
67 a5	Adverse Information	Yes Yes
67 a6	Other (specify)	
68	For high risk non-individual customers, is a site visit a part of your KYC process?	Yes
68 a	If Y, is this at:	
68 a1	Onboarding	Yes
68 a2	KYC renewal	Yes
68 a3	Trigger event	Yes
68 a4 68 a4a	Other If yes, please specify "Other"	No
69	Does the Entity have a risk based approach to screening customers for Adverse Media/Negative News?	Yes
69 a 69 a1	If Y, is this at: Onboarding	
	, Uniocalcing	Yes
69 a2	KYC renewal	Yes

69 a3	Trigger event	Yes
70	What is the method used by the Entity to screen for	res
	Adverse Media/Negative News?	Automated
71	Does the Entity have a risk based approach to screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	
71 a	If Y, is this at:	
71 a1	Onboarding	Yes
71 a2	KYC renewal	Yes
71 a3	Trigger event	Yes
72	What is the method used by the Entity to screen PEPs?	Automated
73	Does the Entity have policies, procedures and processes to review and escalate potential matches from screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	Yes
74	Is KYC renewed at defined frequencies based on risk rating (Periodic Reviews)?	Yes
74 a	If yes, select all that apply:	***
74 a1	Less than one year	No .
74 a2 74 a3	1 – 2 years	Yes
74 a3	3 4 years 5 years or more	Yes
74 a4 74 a5	Trigger-based or perpetual monitoring reviews	Yes Yes
74 a6	Other (Please specify)	190
	Sinel (Flagge specify)	
75	Does the Entity maintain and report metrics on current and past periodic or trigger event due diligence reviews?	Yes
76	From the list below, which categories of customers or industries are subject to EDD and/or are restricted, or prohibited by the Entity's FCC programme?	
76 a	Arms, defence, military	EDD on risk-based approach
76 b	Respondent Banks	Do not have this category of customer or industry
76 b1	If EDD or restricted, does the EDD assessment contain the elements as set out in the Wolfsberg Correspondent Banking Principles 2022?	Please select
76 c	Embassies/Consulates	EDD on risk-based approach
76 d	Extractive Industries	EDD on risk-based approach
76 e	Gambling customers	Prohibited
76 f	General Trading Companies	EDD on risk-based approach
76 g	Marijuana-related Entities	Prohibited
76 h	MSB/MVTS customers	EDD on risk-based approach
761	Non-account customers	EDD on risk-based approach
76]	Non-Government Organisations	EDD on risk-based approach
76 k	Non-resident customers	EDD on risk-based approach
76 I	Nuclear power	EDD on risk-based approach
76 m	Payment Service Providers	Always subject to EDD
76 n	PEPs	EDD on risk-based approach
76 o	PEP Close Associates	EDD on risk-based approach
76 p	PEP Related	EDD on risk-based approach
76 q	Precious metals and stones	EDD on risk-based approach
76 r	Red light businesses/Adult entertainment	EDD on risk-based approach
76 s	Regulated charities	EDD on risk-based approach
76 t	Shell banks	Prohibited
76 u	Travel and Tour Companies	EDD on risk-based approach
76 v	Unregulated charities	Prohibited
76 w	Used Car Dealers	EDD on risk-based approach
76 x	Virtual Asset Service Providers	Prohibited
76 y	Other (specify)	TOTIONGO
77	If restricted, provide details of the restriction	. ,
78	Does EDD require senior business management and/ or compliance approval?	Yes

78 a 79	If Y indicate who provides the approval:	Both
	Does the Entity have specific procedures for	
	onboarding entities that handle client money such as lawyers, accountants, consultants, real estate agents?	No
	Does the Entity perform an additional control or quality review on clients subject to EDD?	Yes
	Confirm that all responses provided in the above Section are representative of all the LE's branches	Yes
81 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to	
	If appropriate, provide any additional information/context to the answers in this section.	Overseas branches may have limited categories of customers or industries in accordance with local laws and regulations.
8. MONITO	RING & REPORTING	
	Does the Entity have risk based policies, procedures and monitoring processes for the identification and reporting of suspicious activity?	Yes
	What is the method used by the Entity to monitor transactions for suspicious activities?	Automated
84 a	if manual or combination selected, specify what type of transactions are monitored manually	
84 b	If automated or combination selected, are internal system or vendor-sourced tools used?	Vendor-sourced tools
84 b1	If "Vendor-sourced tool" or 'Both' selected, what is the name of the vendor/tool?	SAS
84 b2	When was the tool last updated?	< 1 year
84 b3	When was the automated Transaction Monitoring application last calibrated?	< 1 year
85	Does the Entity have regulatory requirements to report suspicious transactions?	Yes
85 a	If Y, does the Entity have policies, procedures and processes to comply with suspicious transaction reporting requirements?	Yes
86	Does the Entity have policies, procedures and processes to review and escalate matters arising from the monitoring of customer transactions and activity?	Yes
	Does the Entity have a data quality management programme to ensure that complete data for all transactions are subject to monitoring?	Yes
	Does the Entity have processes in place to respond to Request For Information (RFIs) from other entities in a timely manner?	Yes
	Does the Entity have processes in place to send Requests for Information (RFIs) to their customers in a timely manner?	Yes
	Confirm that all responses provided in the above Section are representative of all the LE's branches	No
90 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to	 84:Suspicious activities could be monitored manually/automated by the overseas branch in accordance with its business volume and scale.
	If appropriate, provide any additional information/context to the answers in this section.	
9. PAYMEN	T TRANSPARENCY	
	Does the Entity adhere to the Wolfsberg Group	
	Payment Transparency Standards?	Yes

93		
1	Does the Entity have policies, procedures and processes to comply with and have controls in place to ensure compliance with:	
93 a	FATF Recommendation 16	Yes
93 b	Local Regulations	Yes
93 b1	If Y, specify the regulation	Regulations Governing Anti-Money Laundering of Financial institutions Regulations Governing Internal Audit and Internal Control System of Anti-Money Laundering and Countering Terrorism Financing of Banking Business and Other Financial Institutions Designated by the Financial Supervisory Commission
93 с	If N, explain	
94	Does the Entity have controls to support the inclusion of required and accurate originator information in cross border payment messages?	Yes
95	Does the Entity have controls to support the inclusion of required beneficiary information cross-border payment messages?	Yes
95 a	If Y, does the Entity have procedures to include beneficiary address including country in cross border payments?	Yes
96	Confirm that all responses provided in the above Section are representative of all the LE's branches	Yes
96 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
97	If appropriate, provide any additional information/context to the answers in this section.	
10. SANC	TIONS	<u> </u>
98	Does the Entity have a Sanctions Policy approved by management regarding compliance with sanctions law applicable to the Entity, including with respect to its business conducted with, or through accounts held at foreign financial institutions?	Yes
99	Does the Entity have policies, procedures, or other controls reasonably designed to prevent the use of another entity's accounts or services in a manner causing the other entity to violate sanctions prohibitions applicable to the other entity (including prohibitions within the other entity's local jurisdiction)?	Yes
100	Does the Entity have policies, procedures or other controls reasonably designed to prohibit and/or detect actions taken to evade applicable sanctions prohibitions, such as stripping, or the resubmission and/or masking, of sanctions relevant information in cross border transactions?	Yes
101	Does the Entity screen its customers, including beneficial ownership information collected by the Entity, during onboarding and regularly thereafter against Sanctions Lists?	Yes
102	What is the method used by the Entity for sanctions screening?	Automated
102 a	If 'automated' or 'both automated and manual' selected:	
102 a1	Are internal system of vendor-sourced tools used?	Vendor-sourced tools
102 a1a	If a 'vendor-sourced tool' or 'both' selected, what is the name of the vendor/tool?	SAS
102 a2	When did you last test the effectiveness (of finding true matches) and completeness (lack of missing data) of the matching configuration of the automated tool? (if 'Other' please explain in Question 110)	< 1 year
103	Does the Entity screen all sanctions relevant data, including at a minimum, entity and location information, contained in cross border transactions against Sanctions Lists? What is the method used by the Entity?	Yes

programme to ensure that complete data for all transactions are subject to sanctions screening? 106 Select the Sanctions Lists used by the Entity in its sanctions screening processes: 106 Consolidated United Nations Security Council Sanctions List (UN) 106 United States Department of the Treasury's Office of Foreign Assets Control (OFAC) 106 Consolidated United Nations Security Council Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and beneficial owners and for filtering transactional data of the Used for screening customers and benef	400	To	
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114 a If Y, how frequently is training delivered? Annually			Yes
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115 a	If N, clarify which questions the difference/s relate to	
	and the branch/es that this applies to.	
116	Manager and the second	
110	If appropriate, provide any additional information/context to the answers in this section.	
	Thornes of the state of the section,	
12 OHALI	TY ASSURANCE /COMPLIANCE TESTING	
117	Does the Entity have a program wide risk based	
' '	Quality Assurance programme for financial crime	Yes
	(separate from the independent Audit function)?	
118	Does the Entity have a program wide risk based	
	Compliance Testing process (separate from the	Yes
	independent Audit function)?	
119	Confirm that all responses provided in the above	Yes
	Section are representative of all the LE's branches	
119 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
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120	If appropriate, provide any additional	
'20	in appropriate, provide any additional linformation/context to the answers in this section.	
	The second of th	
13. AUDIT		<u> </u>
121	In addition to inspections by the government	
	supervisors/regulators, does the Entity have an	
	internal audit function, a testing function or other	
	independent third party, or both, that assesses FCC	Yes
	AML, CTF, ABC, Fraud and Sanctions policies and practices on a regular basis?	
	<u> </u>	
122	How often is the Entity audited on its AML, CTF, ABC,	
	Fraud and Sanctions programme by the following:	
122 a	Internal Audit Department	Yearly
122 b	External Third Party	Yearly
123	Does the internal audit function or other independent third party cover the following areas:	
123 a	AML, CTF, ABC, Fraud and Sanctions policy and	
120 4	procedures	Yes
123 b	Enterprise Wide Risk Assessment	Yes
123 c	Governance	Yes
123 d	KYC/CDD/EDD and underlying methodologies	Yes
123 e	Name Screening & List Management	Yes
123 f	Reporting/Metrics & Management Information	Yes
123 g	Suspicious Activity Filing	Yes
123 h	Technology	Yes
123 i	Transaction Monitoring	Yes
123 j	Transaction Screening including for sanctions	Yes
123 k	Training & Education	Yes
123 I	Other (specify)	
124	Are adverse findings from internal & external audit	
·-·	tracked to completion and assessed for adequacy	Yes
	and completeness?	
125	Confirm that all responses provided in the above	w
	section are representative of all the LE's branches	Yes
125 a	If N, clarify which questions the difference/s relate to	
	and the branch/es that this applies to.	
126	If appropriate, provide any additional	
	information/context to the answers in this section.	
44 252.	A Pa	
14. FRAU 127	Does the Entity have policies in place addressing	
141	fraud risk?	Yes
128	Does the Entity have a dedicated team responsible	
	for preventing & detecting fraud?	Yes

129	Does the Entity have real time monitoring to detect fraud?	Yes
130	Do the Entity's processes include gathering additional information to support its fraud controls, for example: IP address, GPS location, and/or device ID?	Yes
131	Confirm that all responses provided in the above section are representative of all the LE's branches	Yes
131 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
132	If appropriate, provide any additional information/context to the answers in this section.	

Declaration Statement	
Wolfsberg Group Correspondent Banking Due Diligence Question Declaration Statement (To be signed by Global Head of Corre Anti- Money Laundering, Chief Compliance Officer, Global Head	spondent Banking or equivalent position holder AND Group Money Laundering Prevention Officer, Global Head of
Land Bank of Taiwan Co., Ltd. (Fi	inancial Institution name) is fully committed to the fight against financial crime and makes
every effort to remain in full compliance with all applicable finar	ncial crime laws, regulations and standards in all of the jurisdictions in which it does business and holds accounts.
The Financial institution understands the critical importance of legal and regulatory obligations.	f having effective and sustainable controls to combat financial crime in order to protect its reputation and to meet its
The Financial Institution recognises the importance of transpa standards,	arency regarding parties to transactions in international payments and has adopted/is committed to adopting these
	orking to comply with the Wolfsberg Correspondent Banking Principles and the Wolfsberg Trade Finance Principles, of current and will be updated no less frequently than every eighteen months.
The Financial Institution commits to file accurate supplemental	finformation on a timely basis.
I, Wang-Ping Shih the answers provided in this Wolfsberg CBDDQ are complete Institution.	(Global Head of Correspondent Banking or equivalent), certify that I have read and understood this declaration, that and correct to my honest belief, and that I am authorised to execute this declaration on behalf of the Financial
I, Su-Huang Lin Wolfsberg CBDDQ are complete and correct to my honest be	(MLRO or equivalent), certify that I have read and understood this declaration, that the answers provided in this elief, and that I am authorised to execute this declaration on behalf of the Financial Institution,
I had DM Clib No	V. 12 2025

